COBS – Certification for Certified Sophisticated Investor

**Sophisticated Investor Statement**

I make this statement so that I can receive promotional communications which are exempt from the restriction on promotion of non-mainstream pooled investments.

The exemption relates to certified sophisticated investors and I declare that I qualify as such.

**I accept that the investments to which the promotions will relate may expose me to a significant risk of losing all of the money or other property invested.**

I am aware that it is open to me to seek advice from an authorised person who specialises in advising on non-mainstream pooled investments.

|  |  |
| --- | --- |
| Client name: |  |
| Signature: |  |
| Date: |  |

**We confirm that the above client has been assessed as being sufficiently knowledgeable to understand the risks associated with engaging in investment activities in non-mainstream pooled investments**.

**Signed on behalf of the firm**

|  |  |
| --- | --- |
| Name: |  |
| Signature: |  |
| Date: |  |

***NB: the certification supported by this certificate is only valid if the above individual;***

* ***Is certified within the last thirty six months as being sufficiently knowledgeable to understand the risks associated with engaging in investment activity in non-mainstream investments; and***
* ***Has signed this certificate within the period of twelve months ending with the day on which the communication / promotion is made.***