COBS – Certification for High Net Worth Investors

**High Net Worth Investor Statement**

I make this statement so that I can receive promotional communications which are exempt from the restriction on promotion of non-mainstream pooled investments.

The exemption relates to certified high net worth investors and I declare that I qualify as such because **at least one of the following applies to me**:

* I had, throughout the financial year immediately preceding the date below, an annual **income** to the value of **£100,000 or more**;
* I held, throughout the financial year immediately preceding the date below, **net assets** to the value of **£250,000 or more**. Net assets for these purposes do **not** include:

1. the property which is my primary residence or any money raised through a loan secured on that property;
2. any rights of mine under a qualifying contract of insurance; or
3. any benefits (in the form of pensions or otherwise) which are payable on the termination of my service or on my death or retirement and to which I am (or my dependants are), or may be, entitled.

**I accept that the investments to which the promotions will relate may expose me to a significant risk of losing all of the money or other property invested.**

I am aware that it is open to me to seek advice from an authorised person who specialises in advising on non-mainstream pooled investments.

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| --- | --- |
| Client name: |  |
| Signature: |  |
| Date: |  |

***NB: the certification supported by this certificate is only valid if the above individual has signed within the period of twelve months ending with the day on which the communication / promotion is made.***