**Statement for an elective Professional Client**

I declare that I wish to be categorised as an elective professional client for the purposes of the Financial Services and Markets Act 2000 (Financial Promotion) Order 2005.

I understand that this means:

(a) I can receive financial promotions that may not have been approved by a person authorised by the Financial Services Authority;

(b) the content of such financial promotions may not conform to rules issued by the Financial Services Authority;

**(c)** **by signing this statement, I may lose significant rights;**

(d) I may have no right to complain to either of the following—

   (i) the Financial Services Authority; or

   (ii) the Financial Ombudsman Scheme;

(e) I may have no right to seek compensation from the Financial Services Compensation Scheme.

I am an elective Professional Client because **at least two of the following applies**—

(a) I have carried out transactions, in significant size £XXXXXXX, on the relevant market at an average frequency of 10 per quarter over the previous four quarters.

1. My portfolio, including cash deposits and financial instruments, exceeds EUR 500,000.
2. I work/have worked in the financial sector for at least one year in a professional position, which requires/has previously required knowledge of the transactions or services being provided.

**I accept that I can lose my property and other assets from making investment decisions based on financial promotions.**

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

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| **Signature** | **Print Name** | **Date** | | |
| …………………………………………………… |  |  | | |
| …………………………………………………………………………………………………………  ……………………………………………………  **Address** | **Please tick the box if you do not wish to receive information on potential investment opportunities** | |  |